

03-19-01

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002 OMB 0651-0032

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. S-003 (Systems)

First Inventor Axelson, Jr.

Title Systems Used In Performing Femoral  
and Tibial Resection In Knee

Express Mail Label No. Surgery

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 37]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 8]
5. Oath or Declaration [Total Pages ]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of  
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,  
or in an Application Data Sheet under 37 CFR 1.76:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. \_\_\_\_\_ / \_\_\_\_\_

Prior application information

Examiner \_\_\_\_\_

Group Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under  
Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.  
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Labelor ☒ Correspondence address belowName Joseph J. Kaliko  
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Country USA Telephone 203-359-4370 Fax 203-964-1865

Name (Print/Type)

Joseph J. Kaliko

Registration No. (Attorney/Agent)

27,995

Signature

Date March 19, 2001

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CERTIFICATE OF MAILING BY EXPRESS MAIL

"Express Mail" mailing label number EF832551885US date of deposit March 19, 2001. I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner Of Patents and Trademarks, Washington, D.C. 20231.

\_\_\_\_\_  
Joseph J. Kaliko  
Registration Number 27,995

ST-003 (Systems)  
Axelson et al.  
Application  
March 19, 2001

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**FEE TRANSMITTAL**  
**for FY 2001**

Patent fees are subject to annual revision

**Complete if Known**

|                      |                 |
|----------------------|-----------------|
| Application Number   |                 |
| Filing Date          | March 19, 2001  |
| First Named Inventor | Axelsson, Jr.   |
| Examiner Name        |                 |
| Group Art Unit       |                 |
| Attorney Docket No.  | S-003 (Systems) |

TOTAL AMOUNT OF PAYMENT (\$)**1,106****METHOD OF PAYMENT**

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

|                        |  |
|------------------------|--|
| Deposit Account Number |  |
| Deposit Account Name   |  |

- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☒ Credit card ☐ Money Order ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity  |               | Small Entity  |               | Fee Description        | Fee Paid |
|---------------|---------------|---------------|---------------|------------------------|----------|
| Fee Code (\$) | Fee Code (\$) | Fee Code (\$) | Fee Code (\$) |                        |          |
| 101           | 710           | 201           | 355           | Utility filing fee     | 710      |
| 106           | 320           | 206           | 160           | Design filing fee      |          |
| 107           | 490           | 207           | 245           | Plant filing fee       |          |
| 108           | 710           | 208           | 355           | Reissue filing fee     |          |
| 114           | 150           | 214           | 75            | Provisional filing fee |          |

SUBTOTAL (1) (\$)**710****2. EXTRA CLAIM FEES**

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 42                 | -20** = 22   | 18             | 396      |
| Independent Claims | 3            | -3** = 0       | -        |
| Multiple Dependent |              |                |          |

| Large Entity  |               | Small Entity  |               | Fee Description  |
|---------------|---------------|---------------|---------------|--|
| Fee Code (\$) | Fee Code (\$) | Fee Code (\$) | Fee Code (\$) |  |
| 103           | 18            | 203           | 9             | Claims in excess of 20                                     |
| 102           | 80            | 202           | 40            | Independent claims in excess of 3                          |
| 104           | 270           | 204           | 135           | Multiple dependent claim, if not paid                      |
| 109           | 80            | 209           | 40            | ** Reissue independent claims over original patent         |
| 110           | 18            | 210           | 9             | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)**396**

\*or number previously paid, if greater, For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Entity  |               | Small Entity  |               | Fee Description  | Fee Paid |
|---------------|---------------|---------------|---------------|--|----------|
| Fee Code (\$) | Fee Code (\$) | Fee Code (\$) | Fee Code (\$) |  |          |
| 105           | 130           | 205           | 65            | Surcharge - late filing fee or oath  |          |
| 127           | 50            | 227           | 25            | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139           | 130           | 139           | 130           | Non-English specification  |          |
| 147           | 2,520         | 147           | 2,520         | For filing a request for ex parte reexamination                            |          |
| 112           | 920*          | 112           | 920*          | Requesting publication of SIR prior to Examiner action                     |          |
| 113           | 1,840*        | 113           | 1,840*        | Requesting publication of SIR after Examiner action                        |          |
| 115           | 110           | 215           | 55            | Extension for reply within first month                                     |          |
| 116           | 390           | 216           | 195           | Extension for reply within second month                                    |          |
| 117           | 890           | 217           | 445           | Extension for reply within third month                                     |          |
| 118           | 1,390         | 218           | 695           | Extension for reply within fourth month                                    |          |
| 128           | 1,890         | 228           | 945           | Extension for reply within fifth month                                     |          |
| 119           | 310           | 219           | 155           | Notice of Appeal   |          |
| 120           | 310           | 220           | 155           | Filing a brief in support of an appeal                                     |          |
| 121           | 270           | 221           | 135           | Request for oral hearing   |          |
| 138           | 1,510         | 138           | 1,510         | Petition to institute a public use proceeding                              |          |
| 140           | 110           | 240           | 55            | Petition to revive - unavoidable   |          |
| 141           | 1,240         | 241           | 620           | Petition to revive - unintentional   |          |
| 142           | 1,240         | 242           | 620           | Utility issue fee (or reissue)   |          |
| 143           | 440           | 243           | 220           | Design issue fee   |          |
| 144           | 600           | 244           | 300           | Plant issue fee  |          |
| 122           | 130           | 122           | 130           | Petitions to the Commissioner  |          |
| 123           | 50            | 123           | 50            | Processing fee under 37 CFR 1.17(q)  |          |
| 126           | 180           | 126           | 180           | Submission of Information Disclosure Stmt                                  |          |
| 581           | 40            | 581           | 40            | Recording each patent assignment per property (times number of properties) |          |
| 146           | 710           | 246           | 355           | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149           | 710           | 249           | 355           | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179           | 710           | 279           | 355           | Request for Continued Examination (RCE)                                    |          |
| 169           | 900           | 169           | 900           | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**1,106****SUBMITTED BY**Name (Print/Type) **Joseph J. Kaliko**

Signature \_\_\_\_\_

Registration No. (Attorney/Agent) \_\_\_\_\_

27,995

**Complete (if applicable)**Telephone **203-359-4370**Date **March 19, 2001**

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